

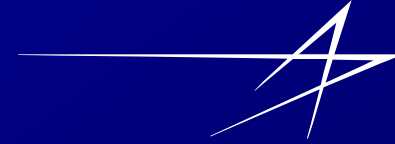
# **Matched Filter CNR, Diversity and Signal Detectivity for Deterministic and Random Coherent Ladar Signals**

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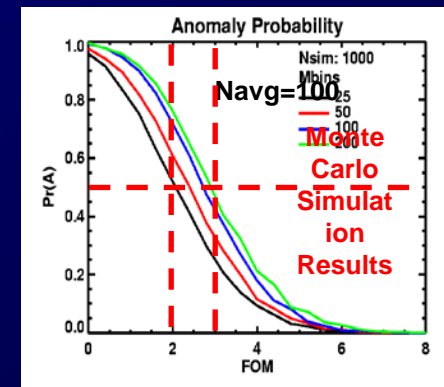
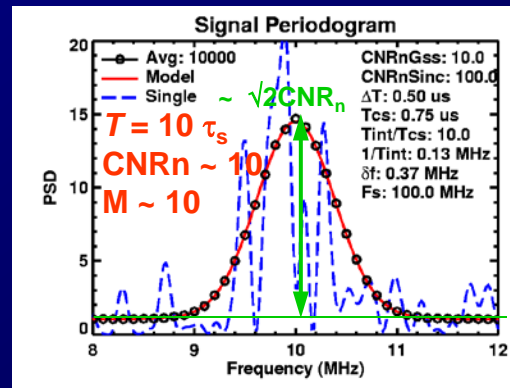
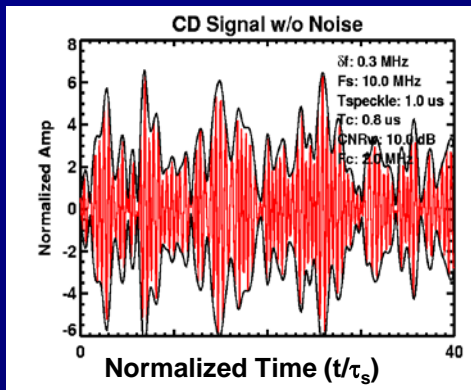
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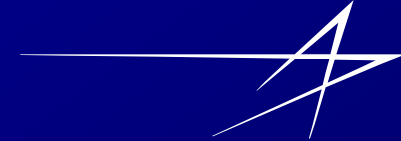
**Funded Under Lockheed Martin IRAD**

# Introduction



- ◆ We develop theoretical expressions for coherent ladar signal:
  - ❖ Wideband and matched filter CNR
  - ❖ Speckle coherence time
  - ❖ Intrinsic diversity (a measure of speckle noise mitigation,  $M = \text{Speckle SNR}$ )
  - ❖ Spectrum models valid for arbitrary integration times
    - ⇒ long or short relative to the coherence time
  - ❖ Relationship between spectrum height and matched filter CNR ( $\text{CNR}_n$ ) is developed
  - ❖ Relationship to signal detectivity
  
- ◆ Theoretical predictions are supported by Monte-Carlo simulation experiment results



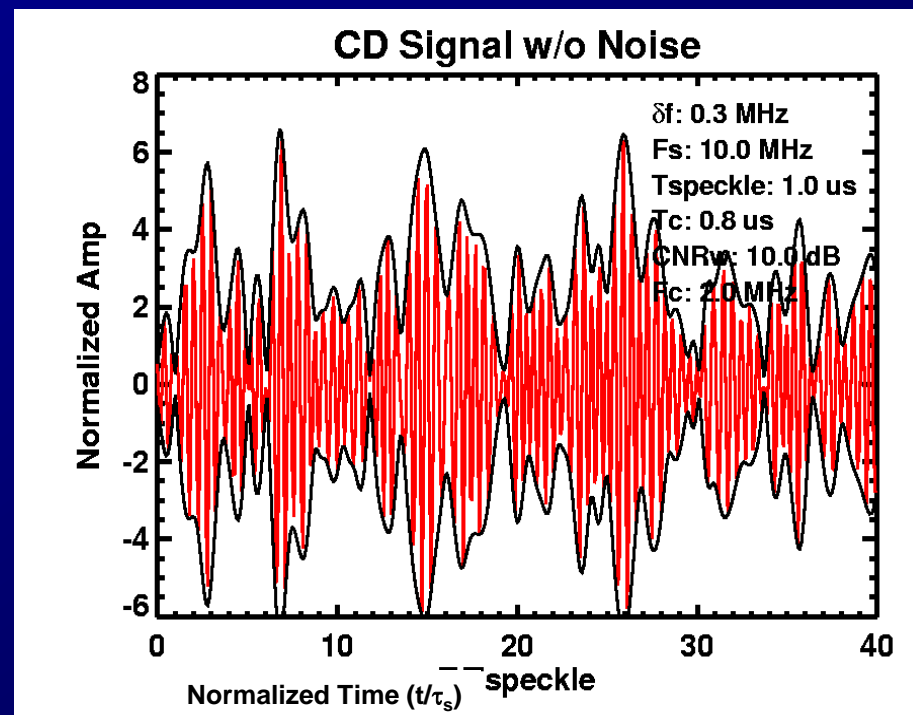


# Coherent Ladar CNR

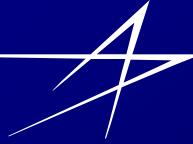
$$\begin{aligned} CNR_w &= \frac{\eta_r Pr}{h\nu B} \\ &= \frac{\eta_r Pr T}{h\nu BT} \\ &= \frac{\eta_r E_r}{h\nu BT} \\ &= \frac{m_r}{BT} \end{aligned}$$

- ◆ **For signal integration over times short compared to the speckle coherence time**

$$B = \frac{1}{T} \quad \text{and}$$
$$CNR_n = m_r$$



# Coherent Ladar CNR



◆ For arbitrary integration times,  $T$

$$CNR_w = \frac{\eta_r Pr}{h \nu B}$$

$$= \frac{m_r}{BT}$$

◆ Optimal matched filter Bandwidth related to characteristic speckle time

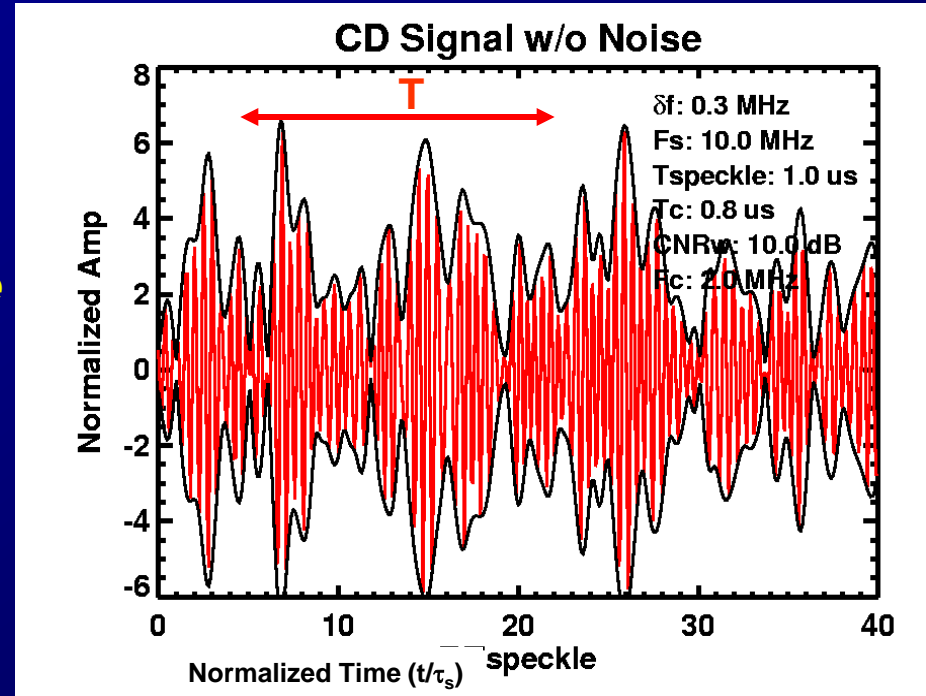
$$B = \frac{1}{\tau_s} \quad \text{and}$$

$$CNR_n = \frac{\tau_s}{T} m_r$$

$$= \frac{m_r}{M}$$

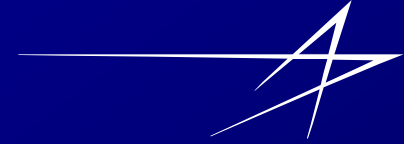
for  $T > \tau_s$

$$= m_{rs}$$



**What are detailed descriptions of  $\tau_s$  and  $M$ ?**

# Long Duration ( $T \gg \tau_s$ ) Signal Diversity and Speckle Coherence Time



- ◆ Diversity is defined as the speckle limited signal SNR or reciprocal normalized power variance

$$M \equiv \frac{E[P_S]^2}{\text{var}[P_S]} = SNR_{\text{speckle}}$$

- ◆ There are many definitions for coherence time.
  - ❖ For very long integration times,  $T$ , the definition that leads to the diversity,  $M$ , converging to  $M = T/\tau_s$  is what we call the “speckle coherence time”

$$M \approx T / \tau_s \text{ for } T \gg \tau_s$$

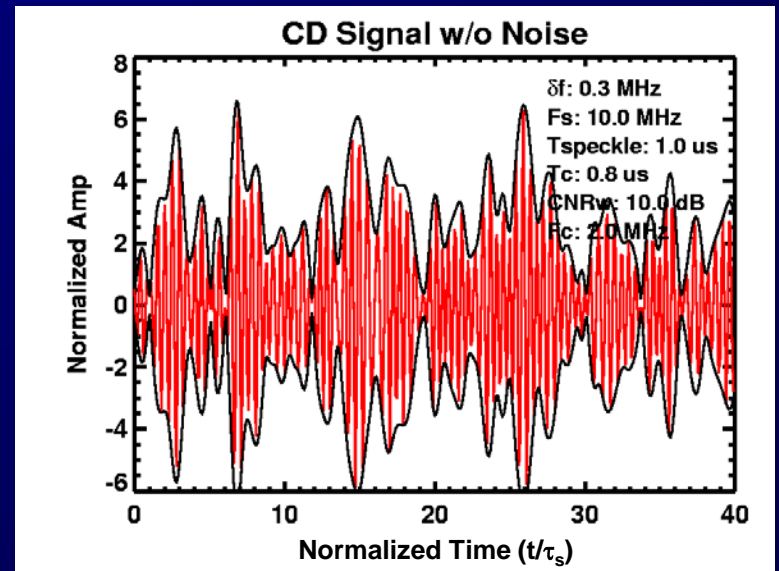
- ◆ Goodman shows, Ch 6 Statistical Optics, that this coherence time is given by

$$\tau_s = \int_{-\infty}^{\infty} |\Gamma(\tau)|^2 d\tau / \Gamma(0)^2 = \int_{-\infty}^{\infty} |\gamma(\tau)|^2 d\tau$$

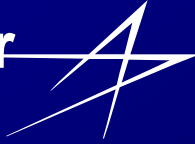
- ◆ This is a measure of the width of the normalized signal autocorrelation function
- ◆ Parseval’s Theorem leads to an expression in terms of the signal spectrum (not shown)
- ◆ For a Gaussian autocorrelation function

$$\tau_s = \sqrt{\pi/2} \tau_c = 1.25 \tau_c$$

- ◆ Where  $\tau_c$  is the  $\exp[-1]$  coherence time



# Matched Filter CNR and Integrated Speckle Diversity for Arbitrary Duration Signals



◆ For arbitrary duration signals, the matched filter CNR can be generalized

- ❖ Coherent photoelectrons contribute to CNR<sub>n</sub>
- ❖ Incoherent photoelectrons contribute to diversity
- ❖ Total #electrons = CNR<sub>n</sub> and diversity product

$$CNR_n = \frac{m_r}{M}$$

$$m_r = M CNR_n$$

For arbitrary duration signals, a general expression for the diversity of the temporally integrated coherent signal power is given by [Goodman Ch. 6]

$$M^{-1} = \frac{1}{T} \int_{-\infty}^{\infty} \Lambda(t/T) |\gamma(\tau)|^2 d\tau$$

$\Lambda(t)$  is the triangle function

$\gamma(t)$  is the normalized autocorrelation function

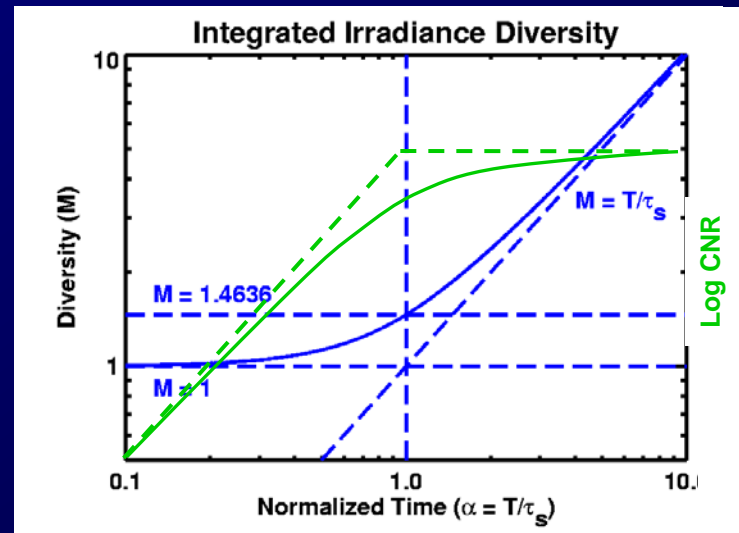
- ❖ For long integration times, the triangle function can be approximated as 1 and the integral converges to the speckle coherence time (see previous chart), leading to

$$M \rightarrow T / \tau_s; \text{ for } T \gg \tau_s$$

◆ For a Gaussian signal spectrum, this becomes

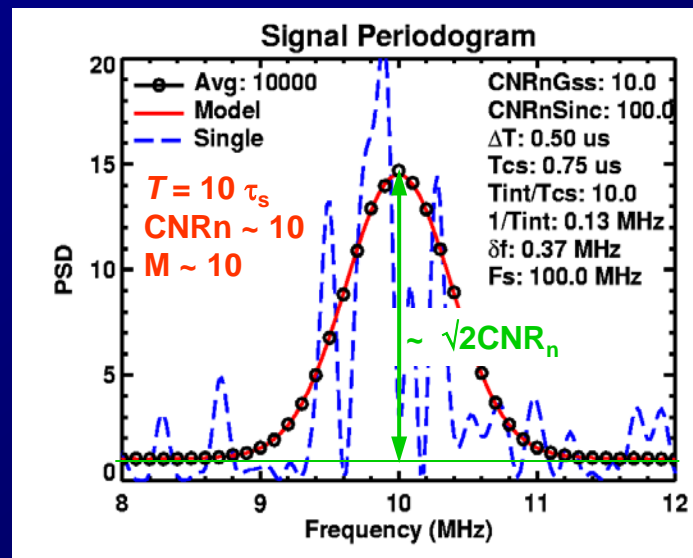
$$M^{-1} = \frac{\text{erf}(\sqrt{\pi}\alpha)}{\alpha} - \frac{1}{\pi\alpha^2} (1 - \exp(-\pi\alpha^2))$$

- ❖ where  $\alpha = T/\tau_s$
- ❖ For small  $\alpha$ ,  $M \Rightarrow 1$
- ❖ For Large  $\alpha$ ,  $M \Rightarrow \alpha = T/\tau_s$
- ❖ when  $\alpha = 1$ ,  $M = 1.4636$



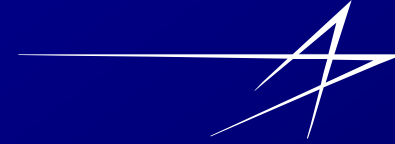
# Spectral Questions to Consider

- ◆ What is the spectral model as a function of the integration time relative to the coherence time.
- ◆ How is the matched filter CNR related to the ratio of the spectral peak to the noise floor?
  - ❖ Coherent CW signal:  $CNR_n$
  - ❖ Coherent Gaussian pulse:  $\sqrt{2} CNR_n$
  - ❖ Long duration random signal with Gaussian autocorrelation/spectrum:  $\sqrt{2} CNR_n$





# Fully Coherent CW Signal: Short Duration ( $T \ll \tau_s$ ) Signal Spectral Model



- ◆ FFT is a matched filter for a rectangular windowed sine wave
- ◆ Peak of FFT output should be  $E_s/N_{oe} = CNR_n$ 
  - ❖ Because it is the matched filter
- ◆ Signal Spectrum is a sinc function

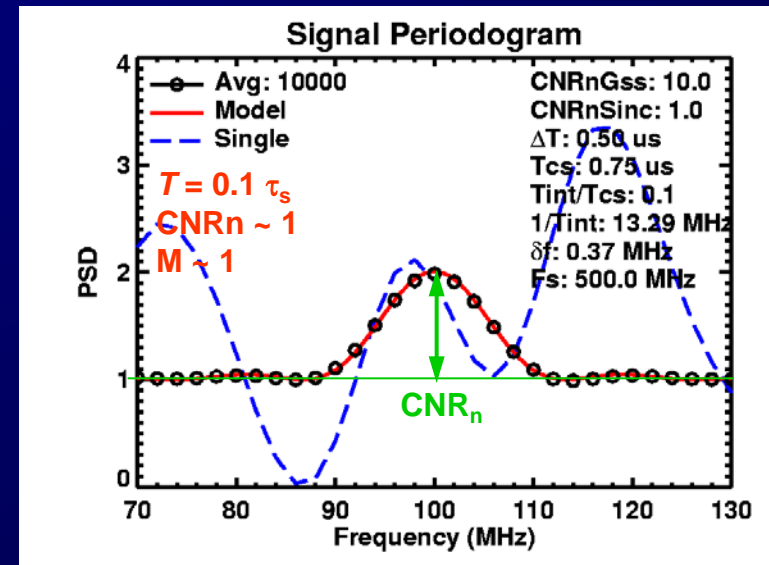
$$S_s(f) = CNR_n \text{sinc}^2((f - f_c)T)$$

$$\text{sinc}(x) = \sin(\pi x)/(\pi x)$$

- ◆ So with noise the final model is

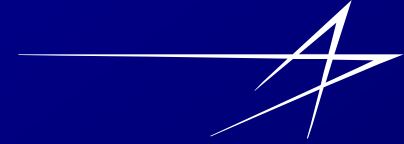
$$S_{sn}(f) = (N_o/2)(1 + CNR_n) \text{sinc}^2((f - f_c)T)$$

- ◆ The peak spectral height above the unit normalized NSD is precisely equal to the matched filter CNR,  $CNR_n$





# Arbitrary Duration Spectral Model



- ◆ An arbitrary duration signal is the product of a rectangular window with an infinite duration signal.

$$s_T(t) = w(t)s(t)$$

- ◆ For single spectral realizations, a multiply in time domain implies

- ❖ Fourier transforms convolve  $S_T(f) = W(f) * S(f)$
- ❖ and spectrum,  $S_{s_T}(f) = |S_T(f)|^2$

- ◆ For ensemble average spectra, a multiply in time domain implies

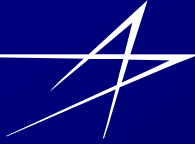
- ❖ Power spectra convolve  $S_{s_T}(f) = S_w(f) * S_s(f)$
- ⇒ See Goodman's Gaussian moment theorem

$$S_{sn}(f) = (N_o / 2) \left( 1 + \frac{S_{sinc}(f) * S_s(f)}{\int S_{sinc}(f) df} \right)$$

- ⇒ Signal Spectrum convolved with a unit area sinc<sup>2</sup>.
- ⇒ Unit area sinc<sup>2</sup> normalization ensures noise PSD remains constant with dwell time variations
- ⇒ For a Gaussian signal spectrum (see backup charts)

$$S_s(f) = \sqrt{2}CNR_n \exp\left(-\frac{(f - f_c)^2}{2\delta f^2}\right)$$

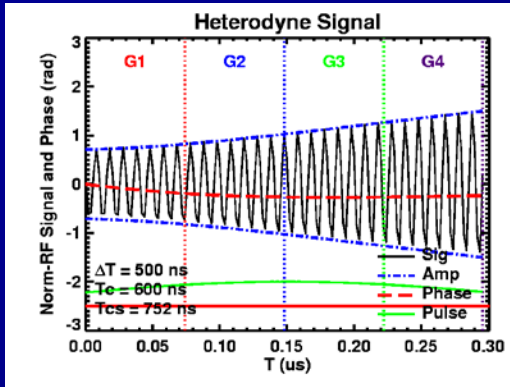
# Monte Carlo Simulation Experimental Results for Arbitrary Integration Times Agree with Theory



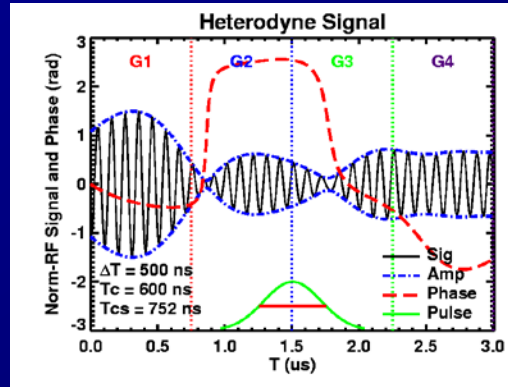
- ◆ 10,000 Spectral Avg Monte Carlo Simulation
- ◆ Results agree with theory for arbitrary integration time

Time Domain

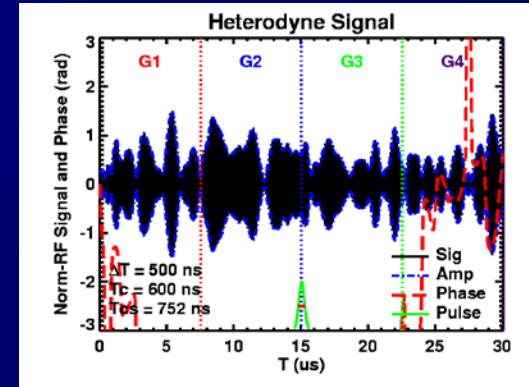
$T/\tau_s = 0.1$



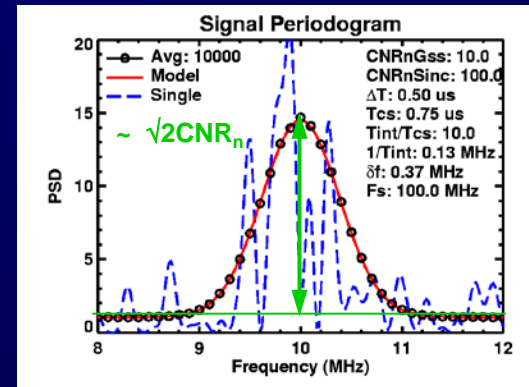
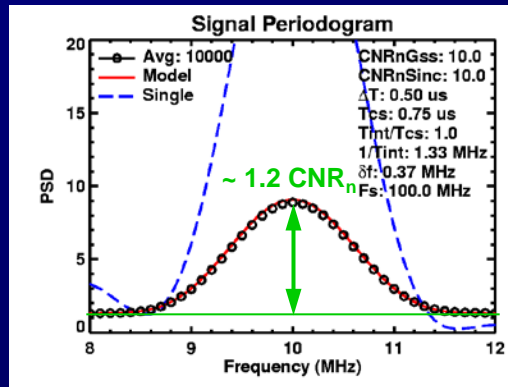
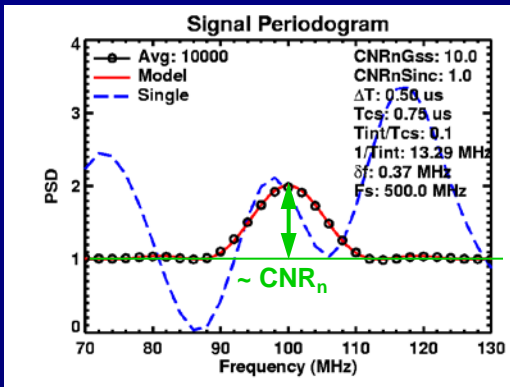
$T/\tau_s = 1.0$



$T/\tau_s = 10$

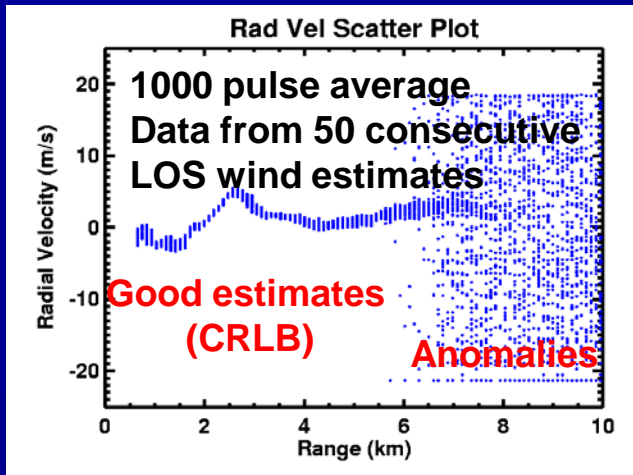


Spectrum vs. Model



# Signal Detectivity

- ◆ Detectivity relates to sensor range performance



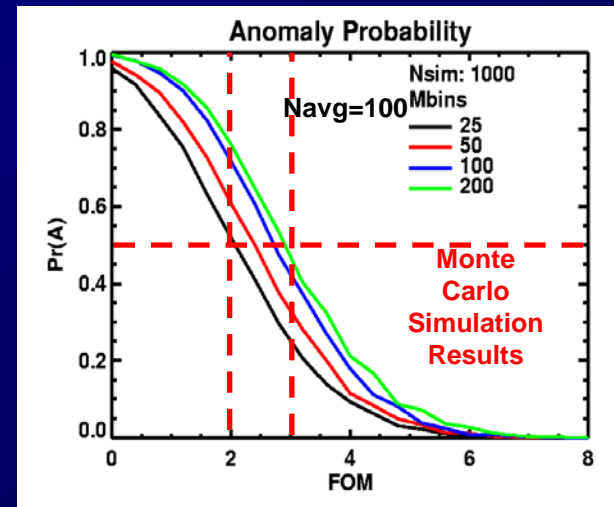
- ◆ Detectivity is defined as the ratio of the peak signal spectral height above the noise, to the rms fluctuations in the noise. Assume unit normalized NSD.

- ◆ Spectral peak above noise is  $k \text{CNR}_n$ 
  - ⇒ where  $k$  is a constant close to 1
- ◆ Noise rms is  $1/\sqrt{N}$ 
  - ⇒ gamma distributed

- ◆ Consequently, the detectivity or Figure of Merit is given by

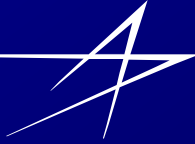
$$FOM = k\sqrt{N}\text{CNR}_n$$

- ◆ This FOM can be utilized to characterize the anomaly probability for a peak-detecting estimator algorithm



- ◆ So for  $2 < FOM < 3$ ,  $\text{Pr}A \sim 50\%$  depending on number of noise bins

# Summary



## ◆ Matched Filter CNR

$$CNR_n = \frac{m_r}{M}$$

Coherent photons build up CNR<sub>n</sub>  
Incoherent photons build up diversity

## ◆ Diversity

- ◆ Fully coherent CW signal

$$M = 1$$

- ◆ Partially coherent CW signal

$$M^{-1} = \frac{1}{T} \int_{-\infty}^{\infty} \Lambda(t/T) |\gamma(\tau)|^2 d\tau$$

## ◆ Speckle Coherence Time

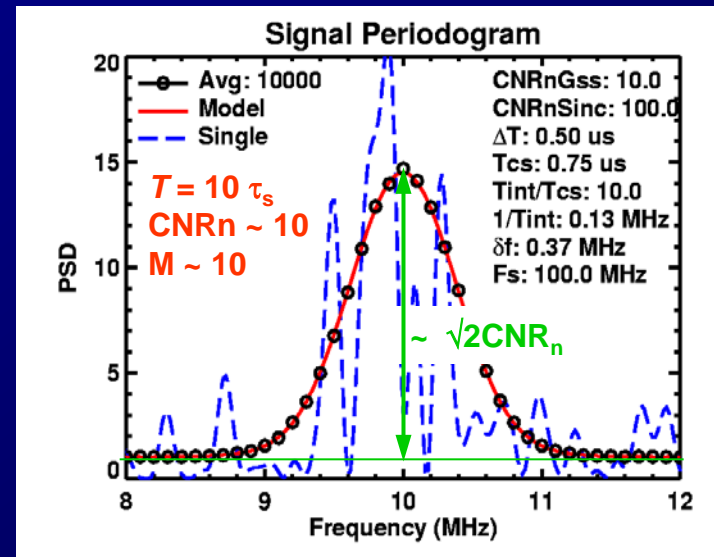
$$\tau_s = \int_{-\infty}^{\infty} |\gamma(\tau)|^2 d\tau$$

## ◆ General Spectrum Model

$$S_{sn}(f) = (N_o/2) \left( 1 + \frac{S_{sinc}(f) * S_s(f)}{\int S_{sinc}(f) df} \right)$$

## ◆ Peak above the normalized noise floor

- ◆ Sp = 1.0 CNR<sub>n</sub> for fully coherent signals
- ◆ Sp ~ 1.2 CNR<sub>n</sub> for T/ts = 1.0
- ◆ Sp = 1.414 CNR<sub>n</sub> for infinite duration incoherent signals



## ◆ Signal Detectivity

$$FOM = Sp \sqrt{N} \sim CNR_n \sqrt{N}$$

## ◆ Coherent Receiver Sensitivity / Pulse

- ◆ ~ (1 coherent photon/η<sub>r</sub>) / √N